

S/N NEW FILING

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: ROTERING ET AL. Examiner: UNKNOWN
Serial No.: NEW FILING Group Art Unit: UNKNOWN
Filed: HEREWITH Docket No.: H0003883/13358.18USU1
Title: HUMIDIFIER WITH REVERSE OSMOSIS FILTER

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV322886214US

Date of Deposit: AUGUST 7, 2003

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

By: 

Name: Sofia Junkers

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.


Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, Minnesota 55402-0903
(612) 332-5300

Date: Aug-7, 2003



Katherine M. DeVries Smith
Reg. No. 42,157
KDS:KAF:PSTklg

FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: H0003883/13358.18USU1	Application Number: NEW FILING
	Applicant: ROTERING ET AL.	
	Filing Date: HEREWITH	Group Art Unit: UNKNOWN

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,630,378	12/28/1971	Bauman			
	3,726,793	04/10/1973	Bray			
	3,846,295	11/05/1974	Gibbs			
	4,257,989	03/24/1981	Nishikawa			
	4,626,346	12/02/1986	Hall			
	4,650,586	03/17/1987	Ellis, III			
	4,724,104	02/09/1988	Kim			
	4,770,770	09/13/1988	Regunathan et al.			
	4,997,553	03/05/1991	Clack			
	5,128,035	07/07/1992	Clack et al.			
	5,256,279	10/26/1993	Voznick et al.			
	5,445,143	08/29/1995	Sims			
	5,880,438	03/09/1999	Parrini et al.			
	5,906,800	05/25/1999	Napierkowski et al.			
	6,053,482	04/25/2000	Glenn et al.			
	6,103,125	08/15/2000	Kuepper			
	6,120,682	09/19/2000	Cook			
	6,190,558 B1	02/20/2001	Robbins			
	6,375,849 B1	04/23/2002	Crabtree et al.			
	2002/0100716 A1	08/01/2002	Bosko			

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: H0003883/13358.18USU1	Application Number: NEW FILING
	Applicant: ROTERING ET AL.	
	Filing Date: HEREWITH	Group Art Unit: UNKNOWN

		Exhibit L - "HomeSteam Elite Residential Whole House Humidifier," <i>Carel USA</i> , http://www.carelusa.com/homesteam.htm , 3 pages (Date: Known to Applicant prior to filing the present non-provisional application)
--	--	--

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: H0003883/13358.18USU1	Application Number: NEW FILING
	Applicant: ROTERING ET AL.	
	Filing Date: HEREWITH	Group Art Unit: UNKNOWN

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	62-095189	05/01/1987	JP			Abstract	
	63-270592	11/08/1988	JP			Abstract	
	4-124535	04/24/1992	JP			Abstract	
	5-296505	11/09/1993	JP			Abstract	
	7-293953	11/10/1995	JP			X	
	11-300341	11/02/1999	JP			Abstract	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
		Exhibit A - "PURE WATER - Pure water for air humidification systems," <i>AB SIBE International</i> , 2 pages (1999)					
		Exhibit B - "JS WHEN HUMIDITY MATTERS! PureFlo™ water treatment for humidifiers," <i>JS Humidifiers plc</i> , 2 pages (Date: Known to Applicant prior to filing the present non-provisional application)					
		Exhibit C - "JS WHEN HUMIDITY MATTERS! Calomax Steam Humidifier Range," <i>JS Humidifiers plc</i> , 2 pages (July 2002)					
		Exhibit D - "HE420A,B and HE460A,B Steam Power Humidifiers - Product Data," <i>Honeywell</i> , 12 pages (1998)					
		Exhibit F - "Installation Instructions & Owner's Manual - AUTOFLO ELECTRONIC STEAM UNIT - POWER HUMIDIFIER MODELS S2000 AND S2020 FOR GAS OR OIL FORCED AIR FURNACES, HEAT PUMPS AND ELECTRIC FURNACES," <i>EWCO CONTROLS INC.</i> , 11 pages (Date: Known to Applicant prior to filing the present non-provisional application)					
		Exhibit G - "Steam Humidifier, Models S2000 and S2020 The World's Most Efficient Humidifier Just Got Better!," http://www.ewccontrols.com/steam_humidifier.htm , 2 pages (Date: Known to Applicant prior to filing the present non-provisional application)					
		Exhibit H - "Armstrong HumidiClean Suggested Specifications," <i>Power Specialties Co. Providing Steam Products & Services to Industry</i> , http://powerspecialties.com/humidiclean_specificat.htm , 4 pages (Date: Known to Applicant prior to filing the present non-provisional application)					
		Exhibit I - Armstrong HumidiClean™ Humidifier - The Ionic Bed. The Final Resting Place for Ordinary Humidifiers," <i>Power Specialties Co. Providing Steam Products & Services to Industry</i> , http://powerspecialties.com/humidiclean_specificat.htm , 5 pages (Date: Known to Applicant prior to filing the present non-provisional application)					
		Exhibit J - "HumidiClean™ Series HC-4000 Humidifier - Revolutionary ionic bed technology that carries a lot of weight.," <i>Armstrong International, Inc.</i> , Bulletin No. 581-C, 12 pages (October 1999)					
		Exhibit K - "homeSteam elite residential steam humidifier - Installation, Operating and Maintenance Manual," <i>Carel USA, LLC</i> , 24 pages (Date: Known to Applicant prior to filing the present non-provisional application)					

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	